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From: _L	_ibby I. Hope
Date: _	December 4, 2001 Time:
Operator:	Debbie Peloquin Matter: 3801P001C3
Number o	f pages including cover sheet:
	ent Application of: <u>Hess, et al.</u>
Application	on No. <u>09/777,306</u>
	ebruary 5, 2001
	FORMATION PRESENTATION AND MANAGEMENT IN AN ONLINE TRADING
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	are the following documents: Supplemental Preliminary Amendmen
and Rema	ark (14 pages), Amendment Transmittal, Fee Transmittal, and Certificate
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Docket No.: 3801PC01C3 Application No.: 09/ '77, 306

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Code	(\$)	Code	(\$)	Fee Description	Fee Paid	
105	130	2115	65	Surcharge - late filing fee or oath		
127	50	2::7	25	Surcharge - late provisional filing fee		
				or cover sheet		
139	130	1::9	130	Non-English specification		
147	2,520	17	2,520	For filing a request for ex-parte reexamination		
099	8,800	0119	8,800	Request for inter partes reexamination		
112	920*	1 2	920*	Requesting publication of SIR prior to		
				Examiner action		
113	1,840*	1. 3	1,840*	Requesting publication of SIR after		
		. =		Examiner action		
115	110	2· 5	55 200	Extension for response within first month Extension for response within second month		
116	400	2 [,] 6 2 [,] 7	460	Extension for response within third month		
117	920 1,440	2. 8	720	Extension for response within fourth month		
118 128	1,440	2::8	980	Extension for response within fifth month		
119	320	29	160	Notice of Appeal		
120	320	2::0	160	Filing a brief in support of an appeal		
121	280	2::1	140	Request for oral hearing		
138	1,510	1:18	1,510	Petition to institute a public use proceeding		
140	110	20	55	Petition to revive unavoidably abandoned application		
			-	or unavoidably delayed payment of issue fee		
141	1,280	2.1	640	Petition to revive unintentionally abandoned application	on	
	• • • • • • • • • • • • • • • • • • • •			or unintentionally delayed payment of issue fee		
142	1,280	24.2	640	Utility issue fee (or reissue)		
143	460	2.3	230	Design issue fee	6	
144	620	2.4	310	Plant issue fee		
122	130	11:2	130	Petitions to the Commissioner		
123	50	1::3	50	Petitions related to provisional applications	******	
126	180	12:6	180	Submission of Information Disclosure Stmt		
581	40	5t: 1	40	Recording each patent assignment per		
1				property (times number of properties)		
146	740	246	370	For filing a submission after final rejection		
1		a. =	,,~,	(see 37 CFR 1.129(a))		
149	740	24.9	370	For each additional invention to be examined		
1470	740	2; 9	370	(see 37 CFR 1.129(b)) . Request for Continued Examination (RCE)		
179	900	169	900	Request for continued Examination (RCE) Request for expedited examination of a design	•••	
109	300	IX 9	200	application		
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Docket No.: 3801P0)1C3 Application No.: 09/777,306

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103	18	203	```9	Claims in excess of 20	• •		
102	84	202	42	Independent claims in exc	ess of 3		
104	280	204	140	Multiple dependent claim,	if not paid	144	
109	84	209	42	**Relssue independent cla	ims over origina	patent	nt
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Docket No.: 3801PC01C3 Application No.: 09/777, 306

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244	310	Plant issue fee	•
122	130	Petitions to the Commissioner	,
123	50	Petitions related to provisional applications	
126	180	Submission of Information Disclosure Stmt	
581	40	Recording each patent assignment per	
		property (times number of properties)	
246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
279	370	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	1
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Docket No.: 3801P0)1C3 Application No.: 09/777,306

Attorney's Docket Nc.: 3801P001C3						Paten					
In re the Application of: Hess et al.						_					
	(inventor(s)) Application No.: 05/777,306										
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SIR: T				n Amendmer							
Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted. A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed. No additional fee is required.											
The fee	e has be	en ca c	ulated a	s shown belo	w:				OTHE	R THAN A	
	(Co	ol. 1)		(Col. 2)	(Col. 3)		SMAL	L ENTITY	SMAL	L ENTITY	,
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Indep. Claims		6	Minus	*** 6			X42	\$	X84	\$.00	
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<u> </u>	Dependent Claim(s) * If the entry in Col. 1 is less than the entry in Col. 2,						Total		Total		1
1	write "0" ii	n Col. 3.	•			Ad	dd. Fee	\$	Add. Fee	\$ 90.00	}
*** [** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.										
out talle 1	from the equivaent box in Col. 1 of a prior amendment or the number of claims originally filed.										

Docket No.: 3801PC01C3 Application No.: 09/ '77,306

	A check in the amount of \$	is attached for presentation of additional claim(s).
		• •
		Extension of Time of month(s) pursuant to
	37 C.F.R. § 1.136(a).	
	A check for \$ is attac	hed for processing fees under 37 C.F.R. § 1.17,
<u> </u>	Please charge my Deposit Account I	No. <u>02-2666</u> the amount of \$ <u>90.00</u> .
	A duplicate copy of this sheet is e	nclosed.
X_	The Commissioner of Patents and T	rademarks is hereby authorized to charge payment of the
	following ees associated with this co	ommunication or credit any overpayment to Deposit Account
	No. <u>02-2686</u> (a duplicate copy of the	
,	X Any additional filing fees extra claims.	required under 37 C.F.R. § 1.16 for presentation of
	X Any extension or petition	n fees under 37 C,F,R, § 1.17.
		BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
Date:	a: <u>December 4, 2001</u>	Alexe
		Libby H. Hope
		Reg. No. 46, 774
	00 Wilshire Boul∋vard enth Floor	

Docket No.: 3801P001C3 Application No.: 09/777,306

Los Angeles, California 90025 (303) 740-1980